



Board of Governors for Higher Education  
Department of Higher Education  
State of Connecticut

**Highlights**

**FY 2005-07**

**Biennial Operating Budget**  
**For Higher Education**

**June 2005**

## **Board of Governors for Higher Education**

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## Highlights

### FY 2005-07 Biennial Operating Budget for Higher Education

- The General Fund budget:
  - calls for \$1,228.6 million for the FY 2005-07 biennium, including \$606.5 million in FY 2006 and \$622.1 million in FY 2007.
  - results in a 4.3 percent increase from FY 2005 to FY 2006, and a 7.0 percent increase between FY 2005 and FY 2007.
  - represents a budget that is 8.0 percent below requested **current services** funding, 1.9 percent above the Governor's recommendation for FY 2006 and 1.1 percent below the Appropriations Committee's recommendation. For FY 2007, the budget is 8.5 percent below requested funding, 3.6 percent above the Governor's recommendation and 2.0 percent lower than the Appropriations Committee.
  - holds **student financial aid** programs to level funding for both years with the exception of the Capitol Scholarship Program which is increased \$1.7 million. The Connecticut Independent College Student Grant (CICSG) is increased by approximately \$550,000 in FY 2007, but the increase is to cover Goodwin College which becomes eligible in that year.
  - funds \$4,000,000 of the FY 2006 **Higher Education State Matching Grant** commitment of \$8,734,936 from FY 2005 surplus. The distribution will be \$2 million in FY 2006 and \$2 million in FY 2007. In addition, the **WCSU Greek Chair** is funded from surplus at \$500,000.
  - includes nearly full funding of requested **collective bargaining settlements** at each constituent unit for each year of the biennium.
- The final budget for the **Department of Higher Education** follows the Appropriations Committee recommendations, with the exceptions of the Higher Education Matching Grant that is funded at a reduced level from surplus and the addition of the WCSU Greek Chair, which also is funded from surplus. The addition of \$50,000 for a committee to review Professionalization of Early Childhood Education remains.
- Funding was provided for most of the requested collective bargaining and some unsettled contracts at the **University of Connecticut**, the **UConn Health Center**, **Connecticut State University** and the **Community-Technical College System**. In addition, Eastern Connecticut State University received \$450,000 in base budget startup funds for its Early Childhood Center while the community colleges received \$750,000 in FY 2006 and \$1.6 million in FY 2007 to reduce reliance on part-time faculty.
- The current services request for **Charter Oak State College** and the **Distance Learning Consortium** is fully funded.

**Summary of Appropriation Act  
General Fund**

	<u>2005-06</u>	<u>2006-07</u>	Biennium <u>Total</u>
Total	\$14,237,061,744	\$14,859,868,974	\$29,096,930,718
Legislative Unallocated Lapses	-2,200,000	-2,200,000	-4,400,000
Estimated Unallocated Lapses	-77,200,000	-86,480,000	-163,680,000
General Personal Services Reduction	-14,000,000	-14,000,000	-28,000,000
General Other Expenses Reductions	-11,000,000	-11,000,000	-22,000,000
Centralize Business Operations	-1,000,000	-1,000,000	-2,000,000
 Net - General Fund	 \$14,131,661,744	 \$14,745,188,974	 \$28,876,850,718

**Connecticut Public Higher Education  
General Fund Operating Budget**

**2005 Estimated to Final Appropriation**

**FY 2006**

	<b>2005 Estimated Expenditures</b>	<b>Governor's Recommended</b>	<b>Appropriations Recommended</b>	<b>Final Appropriation</b>	<b>\$ Change from Estimated</b>	<b>% Change from Estimated</b>
University of Connecticut	\$197,026,486	\$201,244,028	\$206,544,028	\$205,219,028	\$8,192,542	4.2%
UConn Health Center	73,211,326	74,779,862	76,348,398	75,956,264	2,744,938	3.7%
Connecticut State University	138,334,800	141,300,396	143,980,396	143,760,396	5,425,596	3.9%
Community-Technical Colleges	126,712,517	130,364,773	134,794,773	133,874,773	7,162,256	5.7%
Charter Oak State College	1,559,124	1,649,825	1,649,825	1,649,825	90,701	5.8%
CT Distance Learning Consortium	560,272	594,018	594,018	594,018	33,746	6.0%
Department of Higher Education <sup>1</sup>	44,010,182	45,393,347	49,443,472	45,443,347	1,433,165	3.3%
<b>Total</b>	<b>\$581,414,707</b>	<b>\$595,326,249</b>	<b>\$613,354,910</b>	<b>\$606,497,651</b>	<b>\$25,082,944</b>	<b>4.3%</b>

**FY 2007**

	<b>2005 Estimated Expenditures</b>	<b>Governor's Recommended</b>	<b>Appropriations Recommended</b>	<b>Final Appropriation</b>	<b>\$ Change from Estimated</b>	<b>% Change from Estimated</b>
University of Connecticut <sup>2</sup>	\$197,026,486	\$204,444,687	\$215,821,381	\$212,977,207	\$15,950,721	8.1%
UConn Health Center <sup>2</sup>	73,211,326	75,160,015	76,947,889	76,500,920	3,289,594	4.5%
Connecticut State University <sup>2</sup>	138,334,800	142,360,740	149,581,271	148,226,138	9,891,338	7.2%
Community-Technical Colleges <sup>2</sup>	126,712,517	131,047,163	137,262,064	136,108,339	9,395,822	7.4%
Charter Oak State College	1,559,124	1,678,732	1,678,732	1,678,732	119,608	7.7%
CT Distance Learning Consortium	560,272	602,928	602,928	602,928	42,656	7.6%
Department of Higher Education <sup>1</sup>	44,010,182	45,407,399	52,959,206	45,959,081	1,948,899	4.4%
<b>Total</b>	<b>\$581,414,707</b>	<b>\$600,701,664</b>	<b>\$634,853,471</b>	<b>\$622,053,345</b>	<b>\$40,638,638</b>	<b>7.0%</b>

<sup>1</sup> 2005 estimated expenditures exclude the \$25.3 million one-time surplus payment of the 2002-2005 Higher Education State Matching Grant.

<sup>2</sup> During FY 2007, the constituent units shall reduce operating expenses by lapsing the following amounts: UConn -\$832,500; UCHC - \$312,500; CSU - \$592,500 and CTC - \$542,500.

## **Additional Budget Implementation Provisions**

- Discontinues administrative expenditure caps for system offices and systemwide administration.
- Establishes a committee, including the Commissioner of Higher Education, to assess and promote professionalization of early childhood education.
- Requires the constituent units to reduce FY 2007 operating expenses by:

University of Connecticut	\$832,500
UConn Health Center	\$312,500
Community-Technical Colleges	\$542,500
Connecticut State University	<u>\$592,500</u>
	\$2,280,000

- Requires the \$4,000,000 appropriated for the Higher Education State Matching Grant from FY 2005 surplus be expended at \$2,000,000 in each year of the biennium.
- Carries forward \$93,390 from FY 2005 to complete funding for Department of Higher Education personnel services, other expenses, the Minority Teacher Incentive Program and Connecticut Aid to Charter Oak.
- Designates \$220,000 for FY 2006 and \$224,000 for FY 2007 for expenditure from the student protection account in support of Private Occupational School administration.
- Directs the Department to conduct an actuarial study of the private occupational school account to determine the appropriate level of the account required to provide adequate protection of students.
- Raises the maximum grant award from the Capitol Scholarship Program from \$2,000 to \$3,000.
- Continues Post University participation in the Connecticut Independent College Student Grant (CICSG) program

## Reconciliation of Budget Changes

### University of Connecticut

	FY 2006					FY 2007				
	Current	Governor's	Appropriations'			Current	Governor's	Appropriations'		
	<u>Services</u>	<u>Current</u>	<u>Governor's</u>	<u>Committee</u>	<u>Final</u>	<u>Services</u>	<u>Current</u>	<u>Governor's</u>	<u>Committee</u>	<u>Final</u>
	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Recommended</u>	<u>Appropriation</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Recommended</u>	<u>Appropriation</u>
<b>FY 2005 Estimated Expenditures</b>	<b>\$197,026,486</b>	<b>\$197,026,486</b>	<b>\$197,026,486</b>	<b>\$197,026,486</b>	<b>\$197,026,486</b>	<b>\$197,026,486</b>	<b>\$197,026,486</b>	<b>\$197,026,486</b>	<b>\$197,026,486</b>	<b>\$197,026,486</b>
Collective Bargaining	9,174,550	8,431,516	4,217,542	9,517,542	8,192,542	18,243,052	14,936,375	7,418,201	18,794,895	15,950,721
Tuition Freeze Inflation		61,645					167,323			
New Facilities	4,368,777	3,568				5,611,241	276,265			
Veterinary Diagnostic Laboratory		1,400					2,839			
<b>Current Services Requested</b>	<b>\$210,569,813</b>	<b>\$205,524,615</b>	<b>\$201,244,028</b>	<b>\$206,544,028</b>	<b>\$205,219,028</b>	<b>\$220,880,779</b>	<b>\$212,409,288</b>	<b>\$204,444,687</b>	<b>\$215,821,381</b>	<b>\$212,977,207</b>
<b>Change</b>	<b>6.9%</b>	<b>4.3%</b>	<b>2.1%</b>	<b>4.8%</b>	<b>4.2%</b>	<b>12.1%</b>	<b>7.8%</b>	<b>3.8%</b>	<b>9.5%</b>	<b>8.1%</b>

### University of Connecticut Health Center

	FY 2006					FY 2007				
	Current	Governor's	Appropriations'			Current	Governor's	Appropriations'		
	<u>Services</u>	<u>Current</u>	<u>Governor's</u>	<u>Committee</u>	<u>Final</u>	<u>Services</u>	<u>Current</u>	<u>Governor's</u>	<u>Committee</u>	<u>Final</u>
	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Recommended</u>	<u>Appropriation</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Recommended</u>	<u>Appropriation</u>
<b>FY 2005 Estimated Expenditures</b>	<b>\$73,211,326</b>	<b>\$73,211,326</b>	<b>\$73,211,326</b>	<b>\$73,211,326</b>	<b>\$73,211,326</b>	<b>\$73,211,326</b>	<b>\$73,211,326</b>	<b>\$73,211,326</b>	<b>\$73,211,326</b>	<b>\$73,211,326</b>
Collective Bargaining/Other	1,814,379	3,154,112	1,568,536	3,137,072	2,744,938	3,220,868	3,934,710	1,948,689	3,736,563	3,289,594
Tuition Freeze Inflation										
New Facilities										
<b>Current Services Requested</b>	<b>\$75,025,705</b>	<b>\$76,365,438</b>	<b>\$74,779,862</b>	<b>\$76,348,398</b>	<b>\$75,956,264</b>	<b>\$76,432,194</b>	<b>\$77,146,036</b>	<b>\$75,160,015</b>	<b>\$76,947,889</b>	<b>\$76,500,920</b>
<b>Change</b>	<b>2.5%</b>	<b>4.3%</b>	<b>2.1%</b>	<b>4.3%</b>	<b>3.7%</b>	<b>4.4%</b>	<b>5.4%</b>	<b>2.7%</b>	<b>5.1%</b>	<b>4.5%</b>

## Reconciliation of Budget Changes

### Connecticut State University

	FY 2006					FY 2007				
	Current Services <u>Requested</u>	Governor's Current <u>Services</u>	Governor's <u>Recommended</u>	Appropriations' Committee <u>Recommended</u>	Final <u>Appropriation</u>	Current Services <u>Requested</u>	Governor's Current <u>Services</u>	Governor's <u>Recommended</u>	Appropriations' Committee <u>Recommended</u>	Final <u>Appropriation</u>
	<b>FY 2005 Estimated Expenditures</b>	<b>\$138,334,800</b>	<b>\$138,334,800</b>	<b>\$138,334,800</b>	<b>\$138,334,800</b>	<b>\$138,334,800</b>	<b>\$138,334,800</b>	<b>\$138,334,800</b>	<b>\$138,334,800</b>	<b>\$138,334,800</b>
Collective Bargaining/Other	5,336,161	5,895,412	2,965,596	5,645,596	4,975,596	10,554,599	7,860,621	4,025,940	11,246,471	9,441,338
Tuition Freeze Inflation	85,306	85,306				231,546	231,546			
New Facilities	225,632	71,081				1,114,327	287,942			
ERIP Payout	1,607,344					1,596,624				
Eastern - Early Childhood Center					450,000					450,000
<b>Current Services Requested</b>	<b>\$145,589,243</b>	<b>\$144,386,599</b>	<b>\$141,300,396</b>	<b>\$143,980,396</b>	<b>\$143,760,396</b>	<b>\$151,831,896</b>	<b>\$146,714,909</b>	<b>\$142,360,740</b>	<b>\$149,581,271</b>	<b>\$148,226,138</b>
<b>Change</b>	<b>5.2%</b>	<b>4.4%</b>	<b>2.1%</b>	<b>4.1%</b>	<b>3.9%</b>	<b>9.8%</b>	<b>6.1%</b>	<b>2.9%</b>	<b>8.1%</b>	<b>7.2%</b>

### Community-Technical College System

	FY 2006					FY 2007				
	Current Services <u>Requested</u>	Governor's Current <u>Services</u>	Governor's <u>Recommended</u>	Appropriations' Committee <u>Recommended</u>	Final <u>Appropriation</u>	Current Services <u>Requested</u>	Governor's Current <u>Services</u>	Governor's <u>Recommended</u>	Appropriations' Committee <u>Recommended</u>	Final <u>Appropriation</u>
	<b>FY 2005 Estimated Expenditures</b>	<b>\$126,712,517</b>	<b>\$126,712,517</b>	<b>\$126,712,517</b>	<b>\$126,712,517</b>	<b>\$126,712,517</b>	<b>\$126,712,517</b>	<b>\$126,712,517</b>	<b>\$126,712,517</b>	<b>\$126,712,517</b>
Collective Bargaining/Other	7,313,991	7,220,204	3,652,256	7,332,256	6,412,256	8,087,531	8,711,188	4,334,646	8,949,547	7,795,822
Tuition Freeze Inflation	122,742	28,092				245,373	76,250			
New Facilities	175,226	84,308				1,365,446	572,662			
Reduce Reliance on Part-time Faculty				750,000	750,000				1,600,000	1,600,000
<b>Current Services Requested</b>	<b>\$134,324,476</b>	<b>\$134,045,121</b>	<b>\$130,364,773</b>	<b>\$134,794,773</b>	<b>\$133,874,773</b>	<b>\$136,410,867</b>	<b>\$136,072,617</b>	<b>\$131,047,163</b>	<b>\$137,262,064</b>	<b>\$136,108,339</b>
<b>Change</b>	<b>6.0%</b>	<b>5.8%</b>	<b>2.9%</b>	<b>6.4%</b>	<b>5.7%</b>	<b>7.7%</b>	<b>7.4%</b>	<b>3.4%</b>	<b>8.3%</b>	<b>7.4%</b>

## Reconciliation of Budget Changes

### Charter Oak State College & CT Distance Learning Consortium

	FY 2006					FY 2007				
	Current Services <u>Requested</u>	Governor's Current <u>Services</u>	Appropriations'			Current Services <u>Requested</u>	Governor's Current <u>Services</u>	Appropriations'		
			Governor's <u>Recommended</u>	Committee <u>Recommended</u>	Final <u>Appropriation</u>			Governor's <u>Recommended</u>	Committee <u>Recommended</u>	Final <u>Appropriation</u>
<b>FY 2005 Estimated Expenditures</b>	<b>\$2,119,396</b>	<b>\$2,119,396</b>	<b>\$2,119,396</b>	<b>\$2,119,396</b>	<b>\$2,119,396</b>	<b>\$2,119,396</b>	<b>\$2,119,396</b>	<b>\$2,119,396</b>	<b>\$2,119,396</b>	<b>\$2,119,396</b>
Charter Oak State College	90,701	90,701	90,701	90,701	90,701	133,051	119,608	119,608	119,608	119,608
CT Distance Learning Consortium	33,746	33,746	33,746	33,746	33,746	50,451	42,656	42,656	42,656	42,656
<b>Current Services Requested</b>	<b>\$2,243,843</b>	<b>\$2,243,843</b>	<b>\$2,243,843</b>	<b>\$2,243,843</b>	<b>\$2,243,843</b>	<b>\$2,302,898</b>	<b>\$2,281,660</b>	<b>\$2,281,660</b>	<b>\$2,281,660</b>	<b>\$2,281,660</b>
<b>Change</b>	<b>5.9%</b>	<b>5.9%</b>	<b>5.9%</b>	<b>5.9%</b>	<b>5.9%</b>	<b>8.7%</b>	<b>7.7%</b>	<b>7.7%</b>	<b>7.7%</b>	<b>7.7%</b>

### Department of Higher Education

	FY 2006					FY 2007				
	Current Services <u>Requested</u>	Governor's Current <u>Services</u>	Appropriations'			Current Services <u>Requested</u>	Governor's Current <u>Services</u>	Appropriations'		
			Governor's <u>Recommended</u>	Committee <u>Recommended</u>	Final <u>Appropriation</u>			Governor's <u>Recommended</u>	Committee <u>Recommended</u>	Final <u>Appropriation</u>
<b>FY 2005 Estimated Expenditures*</b>	<b>\$44,010,182</b>	<b>\$44,010,182</b>	<b>\$44,010,182</b>	<b>\$44,010,182</b>	<b>\$44,010,182</b>	<b>\$44,010,182</b>	<b>\$44,010,182</b>	<b>\$44,010,182</b>	<b>\$44,010,182</b>	<b>\$44,010,182</b>
Collective Bargaining/Other	198,159	198,597	111,942	111,942	111,942	210,510	120,150	150,767	150,767	150,767
Equipment Replacement	25,500	25,500				21,500	(14,000)			
Higher Education Matching Grant	12,100,000	8,000,250		4,000,125		12,400,000			7,000,125	
Minority Advancement Program	29,471	29,471				79,994	50,523			
Alternate Route to Certification	1,001	1,001				2,718	1,717			
National Service Act	4,493	4,493				12,196	7,703			
International Initiatives	910	910				2,470	1,560			
Minority Teacher Incentive Program	56,126	6,258	(50,000)	(50,000)	(50,000)	56,126	(39,272)			
Capitol Scholarship	380,000	218,510	218,510	1,718,510	1,718,510	380,000	131,557	131,557	1,631,557	1,631,557
CT Aid to Public College Students	24,821,896	214,772				24,821,896	368,185			
CT Independent College Student Grant	10,580,627	201,754				10,580,627	345,868		551,682	551,682
CT Aid to Charter Oak	(10,107)	(9,621)	(22,287)	(22,287)	(22,287)	(10,107)	(21,454)	(10,107)	(10,107)	(10,107)
NEBHE	(125,000)	(125,000)	(125,000)	(125,000)	(125,000)	(125,000)	(125,000)	(125,000)	(125,000)	(125,000)
Education and Health Initiatives	(800,000)	(496,100)	(250,000)	(250,000)	(250,000)	(800,000)	(243,314)	(250,000)	(250,000)	(250,000)
Loan Forgiveness Program			1,500,000				1,500,000	1,500,000		
Professionalization of Early Childhood Ed.				50,000	50,000					
<b>Current Services Requested</b>	<b>\$91,273,258</b>	<b>\$52,280,977</b>	<b>\$45,393,347</b>	<b>\$49,443,472</b>	<b>\$45,443,347</b>	<b>\$91,643,112</b>	<b>\$46,094,405</b>	<b>\$45,407,399</b>	<b>\$52,959,206</b>	<b>\$45,959,081</b>
<b>Change</b>	<b>107.4%</b>	<b>18.8%</b>	<b>3.1%</b>	<b>12.3%</b>	<b>3.3%</b>	<b>108.2%</b>	<b>4.7%</b>	<b>3.2%</b>	<b>20.3%</b>	<b>4.4%</b>

\*Reduced by one-time appropriations for Higher Education Matching Grant \$25,300,000

# DEPARTMENT OF HIGHER EDUCATION

## Major Object Summary General Fund

	<u>FY 2005 Estimated</u>	<u>FY 2006 Governor's<sup>5</sup> Recommended</u>	<u>FY 2006 Appropriations<sup>5</sup> Recommended</u>	<u>FY 2006 Final<sup>5</sup> Budget</u>	<u>FY 2007 Governor's Recommended</u>	<u>FY 2007 Appropriations Recommended</u>	<u>FY 2007 Final<sup>5</sup> Budget</u>
Personal Services	\$2,275,160	\$2,409,374	\$2,409,374	<b>\$2,409,374</b>	\$2,434,368	\$2,434,368	<b>\$2,434,368</b>
1 Other Expenses	181,010	158,738	208,738	<b>208,738</b>	172,569	172,569	<b>172,569</b>
Other Current Expenses							
Minority Advancement	2,267,021	2,267,021	2,267,021	<b>2,267,021</b>	2,267,021	2,267,021	<b>2,267,021</b>
Alternate Route to Cert.	77,033	77,033	77,033	<b>77,033</b>	77,033	77,033	<b>77,033</b>
National Service Act	345,647	345,647	345,647	<b>345,647</b>	345,647	345,647	<b>345,647</b>
International Initiatives	70,000	70,000	70,000	<b>70,000</b>	70,000	70,000	<b>70,000</b>
Minority Teacher Incentive	481,374	431,374	431,374	<b>431,374</b>	481,374	481,374	<b>481,374</b>
2 Higher Ed. Matching Grant	25,300,000		4,000,125			7,000,125	
Education and Health Initiatives	800,000	550,000	550,000	<b>550,000</b>	550,000	550,000	<b>550,000</b>
Loan Forgiveness Program		1,500,000			1,500,000		
Subtotal, OCE	\$29,341,075	\$5,241,075	\$7,741,200	<b>\$3,741,075</b>	\$5,291,075	\$10,791,200	<b>\$3,791,075</b>
3 Equipment	1,000	1,000	1,000	<b>1,000</b>	1,000	1,000	<b>1,000</b>
Capitol Scholarship Grants	5,120,000	5,338,510	6,838,510	<b>6,838,510</b>	5,251,557	6,751,557	<b>6,751,557</b>
Awds to Children of Disabled Vets	4,000	4,000	4,000	<b>4,000</b>	4,000	4,000	<b>4,000</b>
CICSG	15,519,517	15,519,517	15,519,517	<b>15,519,517</b>	15,519,517	16,071,199	<b>16,071,199</b>
CAPCS	16,520,920	16,520,920	16,520,920	<b>16,520,920</b>	16,520,920	16,520,920	<b>16,520,920</b>
CT Aid to Charter Oak	47,500	25,213	25,213	<b>25,213</b>	37,393	37,393	<b>37,393</b>
4 NEBHE	300,000	175,000	175,000	<b>175,000</b>	175,000	175,000	<b>175,000</b>
<b>GRAND TOTAL</b>	<b>\$69,310,182</b>	<b>\$45,393,347</b>	<b>\$49,443,472</b>	<b>\$45,443,347</b>	<b>\$45,407,399</b>	<b>\$52,959,206</b>	<b>\$45,959,081</b>

1 FY 2006 Appropriations recommendation and final budget include \$50,000 for a committee for Professionalization of Early Childhood Education.

2 The FY 2005 Matching Grant covering FY 2002-05 is funded through FY 2004 surplus. A portion of the FY 2006 and FY 2007 matches will each be paid over two years from FY 2005 surplus.

3 Equipment for 2006 and 2007 is funded through the OPM Capital Equipment Fund at \$25,500 and \$11,500, respectively.

4 The amount shown for FY 2005 represents payment for both FY 2004 and FY 2005. The FY 2006 request is actually an increase from FY 2005 dues of \$125,000.

5 Excludes \$93,390 of FY 2005 carryover funding for personal services, other expenses, Minority Teacher Incentive Program and CT Aid to Charter Oak.

**Department of Higher Education  
Summary of Financial Aid Growth**

Fiscal Year	Total Expenditure	Number of Recipients	Cummulative Change		
			#	Expend.	Recipients
1997	\$ 20,409,462	12,667			
1998	\$ 26,589,306	15,203	2,536	30.3%	20.0%
1999	\$ 32,959,606	18,153	5,486	61.5%	43.3%
2000	\$ 37,146,785	17,791	5,124	82.0%	40.5%
2001	\$ 44,362,479	21,512	8,845	117.4%	69.8%
2002	\$ 44,579,931	21,468	8,801	118.4%	69.5%
2003	\$ 38,838,740	19,930	7,263	90.3%	57.3%
2004	\$ 36,406,737	19,540	6,873	78.4%	54.3%
Estimated 2005	\$ 37,182,355	19,501	6,834	82.2%	53.9%
Gov Recommended 2006	\$ 37,378,947	19,641	6,974	83.1%	55.1%
Final Budget 2006	\$ 38,878,947	20,713	8,046	90.5%	63.5%

**Summary of Capitol Scholarship Impact**

Fiscal Year	Total Expenditures*	Number of Recipients	Annual Change		Average Award
			Recipients		
1997	\$ 2,678,557	2,341	(256)	-9.9%	\$ 1,144
1998	\$ 3,380,649	3,093	752	32.1%	\$ 1,093
1999	\$ 5,429,986	4,259	1,166	37.7%	\$ 1,275
2000	\$ 5,548,918	4,247	(12)	-0.3%	\$ 1,307
2001	\$ 5,826,289	4,215	(32)	-0.8%	\$ 1,383
2002	\$ 6,193,344	4,385	170	4.0%	\$ 1,412
2003	\$ 5,360,530	3,709	(676)	-15.4%	\$ 1,445
2004	\$ 5,299,517	3,629	(80)	-2.2%	\$ 1,460
Estimated 2005	\$ 5,141,918	3,673	44	1.2%	\$ 1,400
Gov Recommended 2006	\$ 5,338,510	3,813	140	3.8%	\$ 1,400
Final Budget 2006	\$ 6,838,510	4,885	1,071	28.1%	\$ 1,400

\* Actual/estimated expenditures include federal LEAP/SLEAP funding in addition to the appropriation

**Summary of Connecticut Aid to Public College Students Impact**

Fiscal Year	Total Expenditures	Number of Recipients*	Annual Change		Average Award	Percent Funded
			Recipients			
1997	\$ 5,562,888	6,531	15	0.2%	\$ 852	26.0%
1998	\$ 8,696,398	8,037	1,506	23.1%	\$ 1,078	40.0%
1999	\$ 11,270,089	9,585	1,548	19.3%	\$ 1,176	51.0%
2000	\$ 14,493,668	9,060	(525)	-5.5%	\$ 1,600	65.0%
2001	\$ 19,759,261	12,510	3,450	38.1%	\$ 1,572	81.3%
2002	\$ 19,609,658	12,314	(196)	-1.6%	\$ 1,592	77.3%
2003	\$ 17,539,728	11,821	(493)	-4.0%	\$ 1,475	60.5%
2004	\$ 16,039,728	11,078	(743)	-6.3%	\$ 1,447	49.8%
Estimated 2005	\$ 16,520,920	11,394	316	2.9%	\$ 1,450	44.9%
Final Budget 2006	\$ 16,520,920	11,394	-	0.0%	\$ 1,450	40.0%

**Summary of Connecticut Independent College Student Grant Impact**

Fiscal Year	Total Expenditures	Number of Recipients	Annual Change		Average Award	Percent Funded
			Recipients			
1997	\$ 12,168,017	3,795	81	2.2%	\$ 3,206	74.0%
1998	\$ 14,512,259	4,073	278	7.3%	\$ 3,563	84.0%
1999	\$ 16,259,531	4,309	236	5.8%	\$ 3,773	89.0%
2000	\$ 17,104,199	4,484	175	4.1%	\$ 3,814	93.5%
2001	\$ 18,776,929	4,787	303	6.8%	\$ 3,964	99.9%
2002	\$ 18,776,929	4,769	(17)	-0.4%	\$ 3,956	97.5%
2003	\$ 15,938,482	4,400	(369)	-7.7%	\$ 3,622	86.3%
2004	\$ 15,067,492	4,517	117	2.7%	\$ 3,344	53.9%
Estimated 2005	\$ 15,519,517	4,434	(83)	-1.8%	\$ 3,500	56.3%
Final Budget 2006	\$ 15,519,517	4,434	-	0.0%	\$ 3,500	59.5%

## Higher Education Matching Grant Program Status

Calendar Year Gifts Raised	1998	1999	2000	2001	2002	2003	2004	Estimated 2005	
Fiscal Year Match Paid	<u>FY 2000</u>	<u>FY 2001</u>	<u>FY 2002</u>	<u>FY 2003</u>	<u>FY 2004</u>	<u>FY 2005</u>	<u>FY 2006</u>	<u>FY 2007</u>	<u>Total</u>
<b>Endowment Eligible Gifts Raised</b>									
University of Connecticut <sup>1</sup>	10,637,771	12,800,000	15,000,000	13,969,541	13,215,088	9,201,354	13,380,745	20,000,000	88,204,499
Connecticut State University	1,291,113	1,414,161	2,295,508	4,313,721	2,906,558	2,069,746	2,544,339	3,228,750	16,835,146
Community Colleges	841,574	1,237,324	1,139,499	1,774,084	708,975	1,194,465	1,484,969	1,500,000	8,380,890
Charter Oak State College	111,772	105,353	112,731	114,334	46,135	32,799	59,818	50,000	582,942
<b>Total Endowment Eligible Gifts Raised</b>	<b>12,882,230</b>	<b>15,556,838</b>	<b>18,547,738</b>	<b>20,171,680</b>	<b>16,876,756</b>	<b>12,498,364</b>	<b>17,469,871</b>	<b>24,778,750</b>	<b>114,003,478</b>
<b>State Match Award</b>									
University of Connecticut <sup>1</sup>	7,500,000	5,000,000	7,500,000	6,984,771	6,607,544	4,600,677	6,690,373	10,000,000	54,883,364
Connecticut State University	645,557	707,081	1,147,754	2,156,861	1,453,279	1,034,873	1,272,170	1,614,375	10,031,948
Community Colleges	420,787	618,662	569,750	887,042	354,488	597,233	742,485	750,000	4,940,445
Charter Oak State College	55,886	52,677	56,366	57,167	23,068	16,400	29,909	25,000	316,471
<b>Total State Match Award</b>	<b>8,622,230</b>	<b>6,378,419</b>	<b>9,273,869</b>	<b>10,085,840</b>	<b>8,438,378</b>	<b>6,249,182</b>	<b>8,734,936</b>	<b>12,389,375</b>	<b>70,172,228</b>
<b>Unpaid Obligation<sup>2</sup></b>	<b>-</b>	<b>-</b>	<b>546,217</b>	<b>10,085,840</b>	<b>8,438,378</b>	<b>(19,050,818)</b>	<b>8,734,936</b>		<b>8,754,553</b>
<b>Grand Total</b>	<b>21,504,460</b>	<b>21,935,257</b>	<b>27,275,390</b>	<b>20,171,680</b>	<b>16,876,756</b>	<b>37,798,364</b>	<b>17,469,871</b>	<b>37,168,125</b>	<b>175,421,153</b>

\$10 million appropriation request eliminated from Governor's budget recommendation  
 \$25.3 million unpaid obligation dating from FY 2002 paid through FY 2004 surplus  
 \$4 million set aside from FY 2005 surplus to be paid out at \$2 million each in FY 2006 and FY 2007.  
 5.91% reduction to appropriation results in unpaid obligation. \$10.5 million authorized but has not been allocated to date.

1. Under UConn 2000, the University of Connecticut received 1:1 endowment matches in FY 1998 - \$9,116,795 and FY 1999 - \$6,778,823, bringing **the total state match paid through FY 2005 to \$64,943,536**. In addition, under the State Higher Education Matching Grant, \$4.4 million of the \$7.5 million that UConn received in FY 2000 was a 1:1 match in accordance with the last year of the UConn 2000 Match commitment.

2. The unpaid balance represents the eligible gifts raised during calendar year 2004 which totals \$8,734,936 plus a shortfall of \$19,617 from calendar year 2003.